



COPIES OF PAPERS
ORIGINALLY FILED

Page 1 of 1

43

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,369	01/24/2002	Hideo Asano	JP920000390US1

CONFIRMATION NO. 1978

FORMALITIES LETTER



OC000000007537390

RF

IBM Corporation
Intellectual Property Law
5600 Cottle Road
(L2PA-0142)
San Jose, CA 95193

Date Mailed: 02/26/2002

Due 4/26/02

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

H. Truong
Customer Service Center
Initial Patent Examination Division (703) 308-1202

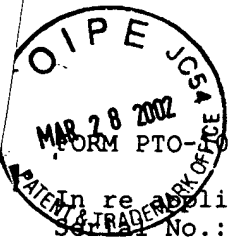
PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/29/2002 JIMLINAM 00000121 090466 10057369

01 FC:105 130.00 CH

RECEIVED MAR 28 2002

67



FORM PTO-1083/Modified

IBM Docket No. JP920000390US1
(PATENT)

MP7A #3

In re application of: H. Asano et al.
Serial No.: 10/057,369
Filed: 01/24/02
For: " Non-Volatile Memory Device"

COPY OF PAPER
ORIGINALLY FILED

THE COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, DC 20231

SIR:

Transmitted herewith in the above-identified application are:

- Amendment
- ☒ Declaration and Power of Attorney for Patent Application
 - ☐ Assignment of the invention to International Business Machines Corporation
 - ☒ Notice to File Missing Parts of Application -- Filing Date Granted
 - ☐ No Additional Fee is Required


The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE		ADDIT. FEE	
TOTAL	15	MINUS	20	=	0	x 18 =	\$.	.00
INDEP.	5	MINUS	5	=	0	x 84 =	\$.	.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+280 =	\$		
						Surcharge	\$	130.00	
						TOTAL	\$	130.00	

- ☒ Please charge my Deposit Account No. 09-0466 in the amount of \$ 130.00.
A duplicate copy of this sheet is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0466. A duplicate copy of this sheet is attached.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231 on 03/18/02
Person Mailing paper/fee
Darci Manuleleua



Ron Feece
Registration No. 46,327
Agent for Applicants
Telephone (408) 256-2140
IBM Corporation
Intellectual Property Law
Dept. L2PA/142
5600 Cottle Road
San Jose, CA 95193

Signature Darci Manuleleua